

# AMMO, INC.

## FORM 8-K (Current report filing)

Filed 11/14/17 for the Period Ending 11/10/17

Address	6401 E. THOMAS ROAD, #106 SCOTTSDALE, AZ, 85251
Telephone	480-947-0001
CIK	0001015383
Symbol	POWW
SIC Code	2330 - Women's, Misses', and Juniors' Outerwear
Industry	Aerospace & Defense
Sector	Industrials
Fiscal Year	12/31

# SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

## FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(D) OF  
THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): **November 14, 2017 (November 10, 2017)**



(Exact Name of Registrant as Specified in Charter)

**Delaware**  
(State or other jurisdiction  
of Incorporation)

**333-29295**  
(Commission File Number)

**30-0957912**  
(IRS Employer  
Identification Number)

**6401 E. Thomas Road, #106**  
**Scottsdale, Arizona 85251**  
(Address of principal executive offices)

**480-947-0001**  
(Registrant's telephone number)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- £ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- £ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- £ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- £ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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**AMMO, INC.**  
**Form 8-K**  
**Current Report**

**ITEM 5.02. DEPARTURE OF DIRECTORS OR PRINCIPAL OFFICERS; ELECTION OF DIRECTORS; APPOINTMENT OF PRINCIPAL OFFICERS**

***Appointment to Board***

On November 10, 2017, Kathy Hanrahan was appointed as a member to the Board of Directors of the Corporation. On November 13, 2017, Ms. Hanrahan accepted the appointment.

The biography for Ms. Hanrahan is set forth below:

***Kathy Hanrahan, 54***

Kathleen has vast experience in both the public sector as well as in the law enforcement market. For nearly 15 years, Ms. Hanrahan held a number of key executive positions at TASER International, Inc., now Axon Enterprise, Inc. (NASDAQ : AAXN ), culminating as Chief Executive Officer of TASER Foundation. She was Chief Financial Officer during the company's IPO in 2001 and became Chief Operations Officer in 2003, and then president and chief operating officer in 2006. Her last position at TASER was as Chief Executive Officer and, concurrently, as co-chairperson for the TASER Foundation for Fallen Officers. Over the course of her career, Ms. Hanrahan has held several director positions for a number of corporations in both the profit and non-profit sectors, and currently serves on the SentrySix Defense Group board.

Ms. Hanrahan is currently a private management consultant for her company, New Horizons Management Consulting, and specializes in assisting small- to medium-sized companies in strategy, financial planning, personnel and infrastructure for growth phases.

Among her higher profile clients was LifeLock, Inc. (NYSE: LOCK), where she served as their interim chief financial officer prior to their initial public offering.

***Family Relationships***

There is no family relationship between Ms. Hanrahan and any of the Company's directors or officers.

***Related Party Transactions***

There are no related party transactions reportable under Item 5.02 of Form 8-K and Item 404(a) of Regulation S-K.

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**ITEM 7.01 REGULATION FD DISCLOSURE**

On November 14, 2017, the Company issued a press release announcing the appointment of Ms. Hanrahan as set forth above. A copy of the press release is furnished as Exhibit 99.1 to this Current Report on Form 8-K.

***Limitation on Incorporation by Reference.***

In accordance with General Instruction B.2 of Form 8-K, the information in this Form 8-K furnished pursuant to Item 7.01 shall not be deemed to be "filed" for purposes of Section 18 of the Exchange Act, or otherwise subject to the liabilities of that section, nor shall such information be deemed incorporated by reference in any filing under the Exchange Act or Securities Act of 1933, as amended, except as shall be expressly set forth by specific reference in such a filing.

**ITEM 9.01 FINANCIAL STATEMENTS AND EXHIBITS**

*(d) Exhibits.*

<u>Exhibit Number</u>	<u>Description</u>
99.1	Press release dated November 14, 2017

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: November 14, 2017

**AMMO, INC.**

*By: /s/ Fred W. Wagenhals*

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Fred W. Wagenhals  
Chief Executive Officer



### AMMO Inc. Appoints Kathy Hanrahan to Board of Directors

SCOTTSDALE, Ariz. , November 14, 2017 (GLOBE NEWSWIRE) — AMMO, Inc. (OTC:POWW), a premier technology driven American ammunitions developer, manufacturer and distributor, today announced it has appointed Kathy Hanrahan, a seasoned financial executive, to serve on the Company’s Board of Directors.

Kathleen has vast experience in both the public sector as well as in the law enforcement market. For nearly 15 years, Ms. Hanrahan held a number of key executive positions at TASER International, Inc., now Axon Enterprise, Inc. ( NASDAQ : AAXN ), culminating as Chief Executive Officer of TASER Foundation. She was Chief Financial Officer during the company’s IPO in 2001 and became Chief Operations Officer in 2003, and then president and chief operating officer in 2006. Her last position at TASER was as Chief Executive Officer and, concurrently, as co-chairperson for the TASER Foundation for Fallen Officers. Over the course of her career, Ms. Hanrahan has held several director positions for a number of corporations in both the profit and non-profit sectors, and currently serves on the SentrySix Defense Group board.

Ms. Hanrahan commented, “I am very excited to become part of a company and a team that I believe will prove to be truly disruptive to its industry. AMMO, Inc.’s commitment to advanced technology and exacting standards give rise to a tremendous value-creation opportunity and I look forward to contributing to their strategic and business development to capture that opportunity for the benefit of the full range of our stakeholders.”

Ms. Hanrahan is currently a private management consultant for her company, New Horizons Management Consulting, and specializes in assisting small- to medium-sized companies in strategy, financial planning, personnel and infrastructure for growth phases.

Among her higher profile clients was LifeLock, Inc. (NYSE: LOCK), where she served as their interim chief financial officer prior to their initial public offering.

Fred W. Wagenhals, Chief Executive Officer of AMMO, commented, “We are very pleased and fortunate to have Kathy join our Board of Directors. Her deep experience with strategy and financial planning, and her work with fast growing companies will serve us well as we further develop our business, grow and drive value to shareholders.”

#### About AMMO, Inc.

With its corporate offices headquartered in Scottsdale, Arizona, and a manufacturing facility in Payson, Arizona, AMMO, Inc. (the “Company and/or AMMO”) designs and manufactures products for a variety of aptitudes, including law enforcement, military, hunting, sport shooting and self-defense (see [www.ammo-inc.com](http://www.ammo-inc.com)). The Company was founded in 2016 with a vision to change, innovate and invigorate the complacent munitions industry. AMMO promotes branded munitions, including the Jesse James line of munitions and accessories; SHIELD Series munitions for Law Enforcement, /stelTH/ subsonic munitions, and OPS (One Precise Shot) a tactical line munitions for self-defense.

#### Forward Looking Statements

*This release may contain forward-looking statements. Actual results may differ from those projected due to a number of risks and uncertainties, including, but not limited to, the possibility that some or all of the matters and transactions considered by the Company may not proceed as contemplated, and by all other matters specified in the Company’s filings with the Securities and Exchange Commission. These statements are made based upon current expectations that are subject to risk and uncertainty. The Company does not undertake to update forward-looking statements in this news release to reflect actual results, changes in assumptions or changes in other factors affecting such forward-looking information. Assumptions and other information that could cause results to differ from those set forth in the forward-looking information can be found in the Company’s filings with the Securities and Exchange Commission ([www.sec.gov](http://www.sec.gov)), including its recent periodic reports.*

#### Investor/Media Contact:

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